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Burckhardt's Renaissance

The most brilliant of the nineteenth-century works on the Renaissance was that of Jacob Burckhardt. In his view the Renaissance saw the beginning of both the modern state and modern man.

FROM The Civilization of the Renaissance in Italy by Jacob Burckhardt

THE STRUGGLE BETWEEN the Popes and the Hohenstaufen left Italy in a political condition which differed essentially from that of other countries of the West. While in France, Spain and England the feudal system was so organized that, at the close of its existence, it was naturally transformed into a unified monarchy, and while in Germany it helped to maintain, at least outwardly, the unity of the empire, Italy had shaken it off almost entirely. The Emperors of the fourteenth century, even in the most favourable case, were no longer received and respected as feudal lords, but as possible leaders and supporters of powers already in existence; while the Papacy, with its creatures and allies, was strong enough to hinder national unity in the future, not strong enough itself to bring about that unity. Between the two lay a multitude of political units—republics and despots—in part of long standing, in part of recent origin, whose existence was founded simply on their power to maintain it. In them for the first time we detect the modern political spirit of Europe, surrendered freely to its own instincts, often displaying the worst features of an unbridled egotism, outraging every right, and killing every germ of a healthier culture. But, wherever this vicious tendency is overcome or in any way compensated, a new fact appears in history—the State as the outcome of reflection and calculation, the State as a work of art. . . .

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Jacob Burckhardt, *The Civilization of the Renaissance in Italy* (1860), trans. by S. G. C. Middlemore. Reprinted by permission of Routledge.

In the character of these States, whether republics or despotisms, lies, not the only, but the chief reason for the early development of the Italian. To this it is due that he was the first-born among the sons of modern Europe.

In the Middle Ages both sides of human consciousness—that which was turned within as that which was turned without—lay dreaming or half awake beneath a common veil. The veil was woven of faith, illusion, and childish prepossession, through which the world and history were seen clad in strange hues. Man was conscious of himself only as a member of a race, people, party, family, or corporation—only through some general category. In Italy this veil first melted into air; an *objective* treatment and consideration of the State and of all the things of this world became possible. The *subjective* side at the same time asserted itself with corresponding emphasis; man became a spiritual *individual*, and recognized himself as such. In the same way the Greek had once distinguished himself from the barbarian, and the Arab had felt himself an individual at a time when other Asiatics knew themselves only as members of a race. It will not be difficult to show that this result was owing above all to the political circumstances of Italy.

In far earlier times we can here and there detect a development of free personality which in Northern Europe either did not occur at all, or could not display itself in the same manner. The band of audacious wrongdoers in the tenth century described to us by Liudprand, some of the contemporaries of Gregory VII (for example, Benzo of Alba), and a few of the opponents of the first Hohenstaufen, show us characters of this kind. But at the close of the thirteenth century Italy began to swarm with individuality; the ban laid upon human personality was dissolved; and a thousand figures meet us each in its own special shape and dress. Dante's great poem would have been impossible in any other country of Europe, if only for the reason that they all still lay under the spell of race. For Italy the august poet, through the wealth of individuality which he set forth, was the most national herald of his time. But this unfolding of the treasures of human nature in literature and art—this many-sided representation and criticism—will be discussed in separate chapters; here we have to deal only with the psychological fact itself. This fact appears in the most decisive and unmistakable form. The Italians of the fourteenth century knew little of false modesty or of hypocrisy in any shape; not one of them was afraid of singularity, of being and seeming unlike his neighbours.

Despotism, as we have already seen, fostered in the highest degree the individuality not only of the tyrant or Condottiere himself, but also of the men whom he protected or used as his tools—the secretary, minister, poet, and companion. These people were forced to know all the inward resources of their own nature, passing or permanent; and their enjoyment of life was enhanced and concentrated by the desire to obtain the greatest satisfaction from a possibly very brief period of power and influence.

But even the subjects whom they ruled over were not free from the same impulse. Leaving out of account those who wasted their lives in secret opposi-

tion and conspiracies, we speak of the majority who were content with a strictly private station, like most of the urban population of the Byzantine empire and the Mohammedan States. No doubt it was often hard for the subjects of a Visconti to maintain the dignity of their persons and families, and multitudes must have lost in moral character through the servitude they lived under. But this was not the case with regard to individuality; for political impotence does not hinder the different tendencies and manifestations of private life from thriving in the fullest vigour and variety. Wealth and culture, so far as display and rivalry were not forbidden to them, a municipal freedom which did not cease to be considerable, and a Church which, unlike that of the Byzantine or of the Mohammedan world, was not identical with the State—all these conditions undoubtedly favoured the growth of individual thought, for which the necessary leisure was furnished by the cessation of party conflicts. The private man, indifferent to politics, and busied partly with serious pursuits, partly with the interests of a *dilettante*, seems to have been first fully formed in these despotisms of the fourteenth century. Documentary evidence cannot, of course, be required on such a point. The novelists, from whom we might expect information, describe to us oddities in plenty, but only from one point of view and in so far as the needs of the story demand. Their scene, too, lies chiefly in the republican cities.

In the latter, circumstances were also, but in another way, favourable to the growth of individual character. The more frequently the governing party was changed, the more the individual was led to make the utmost of the exercise and enjoyment of power. The statesmen and popular leaders, especially in Florentine history, acquired so marked a personal character, that we can scarcely find, even exceptionally, a parallel to them in contemporary history, hardly even in Jacob van Artevelde.

The members of the defeated parties, on the other hand, often came into a position like that of the subjects of the despotic States, with the difference that the freedom or power already enjoyed, and in some cases the hope of recovering them, gave a higher energy to their individuality. Among these men of involuntary leisure we find, for instance, an Agnolo Pandolfini (d. 1446), whose work on domestic economy is the first complete programme of a developed private life. His estimate of the duties of the individual as against the dangers and thanklessness of public life is in its way a true monument of the age.

Banishment, too, has this effect above all, that it either wears the exile out or develops whatever is greatest in him. "In all our more populous cities," says Gioviano Pontano, "we see a crowd of people who have left their homes of their own free will; but a man takes his virtues with him wherever he goes." And, in fact, they were by no means only men who had been actually exiled, but thousands left their native place voluntarily, because they found its political or economical condition intolerable. The Florentine emigrants at Ferrara and the Lucchese in Venice formed whole colonies by themselves.

The cosmopolitanism which grew up in the most gifted circles is in itself a high stage of individualism. Dante, as we have already said, finds a new home in the language and culture of Italy, but goes beyond even this in the words, "My country is the whole world." And when his recall to Florence was offered him on unworthy conditions, he wrote back: "Can I not everywhere behold the light of the sun and the stars; everywhere mediate on the noblest truths, without appearing ingloriously and shamefully before the city and the people. Even my bread will not fail me." The artists exult no less defiantly in their freedom from the constraints of fixed residence. "Only he who has learned everything," says Ghiberti, "is nowhere a stranger; robbed of his fortune and without friends, he is yet the citizen of every country, and can fearlessly despise the changes of fortune." In the same strain an exiled humanist writes: "Wherever a learned man fixes his seat, there is home."

An acute and practised eye might be able to trace, step by step, the increase in the number of complete men during the fifteenth century. Whether they had before them as a conscious object the harmonious development of their spiritual and material existence, is hard to say; but several of them attained it, so far as is consistent with the imperfection of all that is earthly. It may be better to renounce the attempt at an estimate of the share which fortune, character, and talent had in the life of Lorenzo il Magnifico. But look at a personality like that of Ariosto, especially as shown in his satires. In what harmony are there expressed the pride of the man and the poet, the irony with which he treats his own enjoyments, the most delicate satire, and the deepest goodwill!

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Now that this point in our historical view of Italian civilization has been reached, it is time to speak of the influence of antiquity, the "new birth" of which has been one-sidedly chosen as the name to sum up the whole period. The conditions which have been hitherto described would have sufficed, apart from antiquity, to upturn and to mature the national mind; and most of the intellectual tendencies which yet remain to be noticed would be conceivable without it. But both what has gone before and what we have still to discuss are coloured in a thousand ways by the influence of the ancient world; and though the essence of the phenomena might still have been the same without the classical revival, it is only with and through this revival that they are actually manifested to us. The Renaissance would not have been the process of world-wide significance which it is, if its elements could be so easily separated from one another. We must insist upon it, as one of the chief propositions of this book, that it was not the revival of antiquity alone, but its union with the genius of the Italian people, which achieved the conquest of the western world. The amount of independence which the national spirit maintained in this union varied according to circumstances. In the modern Latin literature of the period, it is very small, while in plastic art, as well as in other spheres, it is remarkably great; and hence the alliance between two distant epochs in the civilization of

the same people, because concluded on equal terms, proved justifiable and fruitful. The rest of Europe was free either to repel or else partly or wholly to accept the mighty impulse which came forth from Italy. Where the latter was the case we may as well be spared the complaints over the early decay of mediæval faith and civilization. Had these been strong enough to hold their ground, they would be alive to this day. If those elegiac natures which long to see them return could pass but one hour in the midst of them, they would gasp to be back in modern air. That in a great historical process of this kind flowers of exquisite beauty may perish, without being made immortal in poetry or tradition, is undoubtedly true; nevertheless, we cannot wish the process undone. The general result of it consists in this—that by the side of the Church which had hitherto held the countries of the West together (though it was unable to do so much longer) there arose a new spiritual influence which, spreading itself abroad from Italy, became the breath of life for all the more instructed minds in Europe.

The Florentine diplomat Niccolò Machiavelli (1469–1527) started his contemporaries by writing a book on politics that did not aim at instructing rulers in the moral virtues, but rather gave them pragmatic advice on how to win and hold power. This work was first published in Italian, in 1532.

FROM *The Prince*

BY *Niccolò Machiavelli*

IT NOW REMAINS FOR US TO consider what ought to be the conduct and bearing of a Prince in relation to his subjects and friends. And since I know that many have written on this subject, I fear it may be thought presumptuous in me to write of it also: the more so, because in my treatment of it I depart from the views that others have taken.

But since it is my object to write what shall be useful to whosoever understands it, it seems to me better to follow the real truth of things than an imaginary view of them. For many Republics and Princedoms have been imagined that were never seen or known to exist in reality. And the manner in which we live, and that in which we ought to live, are things so wide asunder, that he who quits the one to betake himself to the other is more likely to destroy than to save himself; since any one who would act up to a perfect standard of goodness in everything, must be ruined among so many who are not good. It is essential, therefore, for a Prince who desires to maintain his position, to have learned how to be other than good, and to use or not to use his goodness as necessity requires.

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Beginning, then, with the first of the qualities above noticed, I say that it may be a good thing to be reputed liberal, but, nevertheless, that liberality without the reputation of it is hurtful; because, though it be worthily and rightly used, still if it be not known, you escape not the reproach of its opposite vice. Hence, to have credit for liberality with the world at large, you must neglect no circumstance of sumptuous display; the result being, that a Prince of a liberal disposition will consume his whole substance in things of this sort, and, after all, be obliged, if he would maintain his reputation for liberality, to burden his subjects with extraordinary taxes, and to resort to confiscations and all the other shifts whereby money is raised. But in this way he becomes hateful to his subjects, and growing impoverished is held in little esteem by any. So that in the end, having by his liberality offended many and obliged few, he is worse off than when he began, and is exposed to all his original dangers. Recognizing this, and endeavouring to retrace his steps, he at once incurs the infamy of miserliness.

A Prince, therefore, since he cannot without injury to himself practise the virtue of liberality so that it may be known, will not, if he be wise, greatly concern himself though he be called miserly. Because in time he will come to be regarded as more and more liberal, when it is seen that through his parsimony his revenues are sufficient; that he is able to defend himself against any who make war on him; that he can engage in enterprises against others without burdening his subjects; and thus exercise liberality towards all from whom he does not take, whose number is infinite, while he is miserly in respect of those only to whom he does not give, whose number is few.

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Passing to the other qualities above referred to, I say that every Prince should desire to be accounted merciful and not cruel. Nevertheless, he should be on his guard against the abuse of this quality of mercy. Cesare Borgia was reputed cruel, yet his cruelty restored Romagna, united it, and brought it to order and obedience; so that if we look at things in their true light, it will be seen that he was in reality far more merciful than the people of Florence, who, to avoid the imputation of cruelty, suffered Pistoja to be torn to pieces by factions.

A Prince should therefore disregard the reproach of being thought cruel where it enables him to keep his subjects united and obedient. For he who quells disorder by a very few signal examples will in the end be more merciful than he who from too great leniency permits things to take their course and so to result in rapine and bloodshed; for these hurt the whole State, whereas the severities of the Prince injure individuals only.

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A Prince should, therefore, understand how to use well both the man and the beast. And this lesson has been covertly taught by the ancient writers, who relate how Achilles and many others of these old Princes were given over to be brought up and trained by Chiron the Centaur; since the only meaning of their having for instructor one who was half man and half beast is, that it is necessary for a Prince to know how to use both natures, and that the one without the other has no stability.

But since a Prince should know how to use the beast's nature wisely, he ought of beasts to choose both the lion and the fox; for the lion cannot guard himself from the toils, nor the fox from wolves. He must therefore be a fox to discern toils, and a lion to drive off wolves.

To rely wholly on the lion is unwise; and for this reason a prudent Prince neither can nor ought to keep his word when to keep it is hurtful to him and the causes which led him to pledge it are removed. If all men were good, this would not be good advice, but since they are dishonest and do not keep faith with you, you, in return, need not keep faith with them; and no Prince was ever at a loss for plausible reasons to cloak a breach of faith. Of this numberless

recent instances could be given, and it might be shown how many solemn treaties and engagements have been rendered inoperative and idle through want of faith in Princes, and that he who has best known to play the fox has had the best success.

It is necessary, indeed, to put a good colour on this nature, and to be skilful in simulating and dissembling. But men are so simple, and governed so absolutely by their present needs, that he who wishes to deceive will never fail in finding willing dupes. One recent example I will not omit. Pope Alexander VI had no care or thought but how to deceive, and always found material to work on. No man ever had a more effective manner of asseverating, or made promises with more solemn protestations, or observed them less. And yet, because he understood this side of human nature, his frauds always succeeded.

It is not essential, then, that a Prince should have all the good qualities which I have enumerated above, but it is most essential that he should seem to have them; I will even venture to affirm that if he has and invariably practises them all, they are hurtful, whereas the appearance of having them is useful. Thus, it is well to seem merciful, faithful, humane, religious, and upright, and also to be so; but the mind should remain so balanced that were it needful not to be so, you should be able and know how to change to the contrary.

And you are to understand that a Prince, and most of all a new Prince, cannot observe all those rules of conduct in respect whereof men are accounted good, being often forced, in order to preserve his Princedom, to act in opposition to good faith, charity, humanity, and religion. He must therefore keep his mind ready to shift as the winds and tides of Fortune turn, and, as I have already said, he ought not to quit good courses if he can help it, but should know how to follow evil courses if he must.

A Prince should therefore be very careful that nothing ever escapes his lips which is not replete with the five qualities above named, so that to see and hear him, one would think him the embodiment of mercy, good faith, integrity, humanity, and religion. And there is no virtue which it is more necessary for him to seem to possess than this last; because men in general judge rather by the eye than by the hand, for every one can see but few can touch. Every one sees what you seem, but few know what you are, and these few dare not oppose themselves to the opinion of the many who have the majesty of the State to back them up.

Moreover, in the actions of all men, and most of all of Princes, where there is no tribunal to which we can appeal, we look to results. Wherefore if a prince succeeds in establishing and maintaining his authority, the means will always be judged honourable and be approved by every one. For the vulgar are always taken by appearances and by results, and the world is made up of the vulgar, the few only finding room when the many have no longer ground to stand on.

In The Prince Machiavelli seemed content to give practical advice to absolute rulers. But in his other major work, The Discourses, he praised popular government and the rule of law.

FROM *The Discourses*

BY *Niccolò Machiavelli*

WHEN I SEE ANTIQUITY held in such reverence, that, to omit other instances, the mere fragment of some ancient statue is often bought at a great price, in order that the purchaser may keep it by him to adorn his house, or to have it copied by those who take delight in this art; and how these, again, strive with all their skill to imitate it in their various works; and when, on the other hand, I find those noble labours which history shows to have been wrought on behalf of the monarchies and republics of old times, by kings, captains, citizens, law-givers, and others who have toiled for the good of their country, rather admired than followed, nay, so absolutely renounced by every one that not a trace of that antique worth is now left among us, I cannot but at once marvel and grieve at this inconsistency. . . . Desiring to rescue men from this error, I have thought fit to note down with respect to all those books of Titus Livius which have escaped the malignity of Time, whatever seems to me essential to a right understanding of ancient and modern affairs; so that any who shall read these remarks of mine, may reap from them that profit for the sake of which a knowledge of History is to be sought. . . .

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I maintain, therefore, contrary to the common opinion which avers that a people when they have the management of affairs are changeable, fickle, and ungrateful, that these faults exist not in them otherwise than as they exist in individual princes; so that were any to accuse both princes and peoples, the charge might be true, but that to make exception in favour of princes is a mistake; for a people in command, if it be duly restrained, will have the same prudence and the same gratitude as a prince has, or even more, however wise he may be reckoned; and a prince on the other hand, if freed from the control of the laws, will be more ungrateful, fickle, and short-sighted than a people. And further, I say that any difference in their methods of acting results not from any difference in their nature, that being the same in both, or, if there be advantage on either side, the advantage resting with the people, but from their having more or less respect for the laws under which each lives. And whosoever attentively considers the history of the Roman people, may see that for four hundred

Niccolò Machiavelli, *Discourses on the First Decade of Titus Livius*, trans. by N. H. Thomson (1883), pp. 4-5, 177-179. Reprinted by permission.

years they never relaxed in their hatred of the regal name, and were constantly devoted to the glory and welfare of their country, and will find numberless proofs given by them of their consistency in both particulars. And should any allege against me the ingratitude they showed to Scipio, I reply by what has already been said at length on that head, where I proved that peoples are less ungrateful than princes. But as for prudence and stability of purpose, I affirm that a people is more prudent, more stable, and of better judgment than a prince. Nor is it without reason that the voice of the people has been likened to the voice of God; for we see that wide-spread beliefs fulfil themselves, and bring about marvellous results, so as to have the appearance of presaging by some occult quality either weal or woe. Again, as to the justice of their opinions on public affairs, we seldom find that after hearing two speakers of equal ability urging them in opposite directions, they do not adopt the sounder view, or are unable to decide on the truth of what they hear. And if, as I have said, a people errs in adopting courses which appear to it bold and advantageous, princes will likewise err when their passions are touched, as is far oftener the case with them than with a people.

We see, too, that in the choice of magistrates a people will choose far more honestly than a prince; so that while you shall never persuade a people that it is advantageous to confer dignities on the infamous and profligate, a prince may readily, and in a thousand ways, be drawn to do so. Again, it may be seen that a people, when once they have come to hold a thing in abhorrence, remain for many ages of the same mind; which we do not find happen with princes. For the truth of both of which assertions the Roman people are my sufficient witness, who, in the course of so many hundred years, and in so many elections of consuls and tribunes, never made four appointments of which they had reason to repent; and, as I have said, so detested the name of king, that no obligation they might be under to any citizen who affected that name, could shield him from the appointed penalty.

Further, we find that those cities wherein the government is in the hands of the people, in a very short space of time, make marvellous progress, far exceeding that made by cities which have been always ruled by princes; as Rome grew after the expulsion of her kings, and Athens after she freed herself from Pisistratus; and this we can ascribe to no other cause than that the rule of a people is better than the rule of a prince.

In modern discussions concerning Machiavelli the problem always arises of reconciling the views of The Prince with those of The Discourses. Machiavelli has been called, among other names, a cynic, a satirist, a realist, and a patriot. Perhaps the most satisfying explanations see him as reasserting a whole pagan classical world view—within which both princely and republican forms of government could be defended—against the prevailing Christian world view inherited from the medieval past.

FROM *The Originality of Machiavelli*

BY *Isaiah Berlin*

IT IS COMMONLY SAID, especially by those who follow Croce, that Machiavelli divided politics from morals—that he recommended as politically necessary courses which common opinion morally condemns: e.g. treading over corpses for the benefit of the state. Leaving aside the question of what was his conception of the state, and whether he in fact possessed one, it seems to me that this is a false antithesis. For Machiavelli the ends which he advocates are those to which he thinks wise human beings, who understand reality, will dedicate their lives. Ultimate ends in this sense, whether or not they are those of the Judaeo-Christian tradition, are what is usually meant by moral values.

What Machiavelli distinguishes is not specifically moral from specifically political values; what he achieves is not the emancipation of politics from ethics or religion, which Croce and many other commentators regard as his crowning achievement; what he institutes is something that cuts deeper still—a differentiation between two incompatible ideals of life, and therefore two moralities. One is the morality of the pagan world: its values are courage, vigour, fortitude in adversity, public achievement, order, discipline, happiness, strength, justice, above all assertion of one's proper claims and the knowledge and power needed to secure their satisfaction; that which for a Renaissance reader Pericles had seen embodied in his ideal Athens, Livy had found in the old Roman Republic, that of which Tacitus and Juvenal lamented the decay and death in their own time. These seem to Machiavelli the best hours of mankind and, Renaissance humanist that he is, he wishes to restore them.

Against this moral universe (moral or ethical no less in Croce's than in the traditional sense, that is, embodying ultimate human ends however these are conceived) stands in the first and foremost place, Christian morality. The ideals of Christianity are charity, mercy, sacrifice, love of God, forgiveness of enemies, contempt for the goods of this world, faith in the life hereafter, belief in the salvation of the individual soul as being of incomparable value—higher than, indeed wholly incommensurable with, any social or political or other terrestrial goal, any economic or military or aesthetic consideration. Machiavelli lays it down that out of men who believe in such ideals, and practise them, no satisfactory human community, in his Roman sense, can in principle be constructed. It is not simply a question of the unattainability of an ideal because of human imperfection, original sin, or bad luck, or ignorance, or insufficiency of material means. It is not, in other words, the inability in practice on the part of ordinary human beings to rise to a sufficiently high level of Christian virtue (which may, indeed, be the inescapable lot of sinful men on earth) that makes it, for him, impracticable to establish, even to seek after, the good Christian state. It is the

¹"The Originality of Machiavelli," in Isaiah Berlin, *Against the Current* (1950), pp. 44–47. Reprinted by permission of Penquin USA.

very opposite: Machiavelli is convinced that what are commonly thought of as the central Christian virtues, whatever their intrinsic value, are insuperable obstacles to the building of the kind of society that he wishes to see; a society which, moreover, he assumes that it is natural for all normal men to want—the kind of community that, in his view, satisfies men's permanent desires and interests. . . .

He does not say or imply (as various radical philosophical reformers have done) that humility, kindness, unworldliness, faith in God, sanctity, Christian love, unwavering truthfulness, compassion, are bad or unimportant attributes; or that cruelty, bad faith, power politics, sacrifice of innocent men to social needs, and so on, are good ones.

But if history, and the insights of wise statesmen, especially in the ancient world, verified as they have been in practice, are to guide us, it will be seen that it is in fact impossible to combine Christian virtues, for example meekness or the search for spiritual salvation, with a satisfactory, stable, vigorous, strong society on earth. Consequently a man must choose. To choose to lead a Christian life is to condemn oneself to political impotence: to being used and crushed by powerful, ambitious, clever, unscrupulous men; if one wishes to build a glorious community like those of Athens or Rome at their best, then one must abandon Christian education and substitute one better suited to the purpose.

5

The Revolt of the Medievalists

W. K. Ferguson has described one modern trend in Renaissance historiography as a "revolt of the medievalists." The following extract will serve to illustrate the meaning of this phrase.

FROM *Héloïse and Abélard*

BY *Etienne Gilson*

THERE IS NOTHING QUITE COMPARABLE to the passion of the historians of the Renaissance for its individualism, its independence of mind, its rebellion against the principle of authority, unless perchance it is the docility with which those same historians copy one another in dogmatizing about the Middle Ages of which they know so little. We should not attach much importance to this attitude, save that those who speak thus of things they understand so poorly pretend to act in defense of reason and of personal observation. Their charge that all those who hold a different opinion are yielding to prejudice would, indeed, be sad, were it not so comic. Indifference to facts, distrust of direct observation and personal knowledge, the tendency to prune their data to suit their hypotheses, the naïve and dogmatic tendency to charge that those who would refute their position with self-evident facts lack a critical sense—these are the substance of their charge against the Middle Ages. Certainly, the Middle Ages had its fair share of these limitations. But at the same time these same limitations provide a perfect picture of the attitude of these historians of the Renaissance. They themselves possess the weaknesses of which they accuse the Middle Ages.

For Jacob Burckhardt, who only echoes the Preface to Volume VII of Michelet's *History of France*, the Renaissance is characterized by the discovery of the world and by the discovery of man. . . . What he wishes to prove before everything else is that such strong individuals could only have appeared first in the tiny Italian tyrannies of the fourteenth century where men led so intense a

Etienne Gilson, *Héloïse and Abélard*, trans. by L. Shook (1951), pp. 124–128. Reprinted by permission of Henry Regnery Company.

personal life that they had to talk about it. And so we read that "Even autobiography (and not merely history) takes here and there in Italy a bold and vigorous flight, and puts before us, together with the most varied incidents of external life, striking revelations of the inner man. Among other nations, even in Germany, at the time of the Reformation, it deals only with outward experiences, and leaves us to guess at the spirit within. It seems as though Dante's *Vita nuova*, with the inexorable truthfulness that runs through it, had shown his people the way." We can, moreover, find a reason for this absence of individuality among medieval folk. Need we speak it? It is to be found in the subjugation and standardization which Christianity forced upon them. "Once mistress, the Church does not tolerate the development of the individual. All must be resigned to becoming simple links in her long chain and to obeying the laws of her institutions."

A man lacking individuality, incapable of analyzing himself, without the taste for describing others in biography or himself in autobiography, such is the man Christianity produces. Let us cite, as an example, St. Augustine! But to confine ourselves to the twelfth century, and without asking from what unique mould we could fashion at the same time a Bernard of Clairvaux and a Pierre Abélard, let us make a simple comparison between the Renaissance of the professors and the facts which become manifest in the correspondence of Héloïse and Abélard.

If all we need for a Renaissance is to find individuals developed to the highest point, does not this pair suffice? To be sure, Abélard and Héloïse are not Italians. They were not born in some tiny Tuscan "tyranny" of the fourteenth century. They satisfy, in brief, none of the conditions which the theory demands except that they were just what they ought not to have been if the theory were true. One insists, however, upon persons capable of "freely describing the moral man," even as the great Italians could do it. Perhaps even here Abélard and Héloïse labored with some success! No one would be so foolish as to compare their correspondence with the *Vita nuova* as literature. But if it is just a matter of stating in which of the two works one finds the moral man more simply and more directly described, the tables are turned. It is the *Vita nuova* that can no longer bear the comparison. Historians still wonder whether Beatrice was a little Florentine or a symbol. But there is nothing symbolic about Héloïse, nor was her love for Abélard but the unfolding of allegorical remarks. This story of flesh and blood, carried along by a passion at once brutal and ardent to its celebrated conclusion, we know from within as, indeed, we know few others. Its heroes observe themselves, analyze themselves as only Christian consciences fallen prey to passions can do it. Nor do they merely analyze themselves, but they talk about themselves. What Renaissance autobiographies can be compared with the correspondence of Abélard and Héloïse? Perchance Benvenuto Cellini's? But even Burckhardt recognizes that this does not claim to be "founded on introspection." Moreover, the reader "often detects him bragging or lying." On the contrary, it is absolutely certain that it is their inmost

selves about which Abélard and Héloïse instruct us; and if they sometimes lie to themselves, they never lie to us.

Before such disagreement between facts and theory, we might reasonably expect the theory to yield a little. But not a bit of it! . . . No fact, whatever it may be, no facts, however numerous they may be, can ever persuade those who hold this theory that it is false, because it is of its very essence and by definition that the Renaissance is the negation of the Middle Ages.

Gaines Post argued that the origins of the modern state are to be found in the twelfth and thirteenth centuries rather than in the age of the Renaissance.

FROM *Studies in Medieval Legal Thought*

BY *Gaines Post*

ALMOST FORTY YEARS AGO Charles Homer Haskins applied the word renaissance to the twelfth century. Whether or not it was a renaissance, the twelfth century was in fact a period of great creative activity. The revival of political, economic, and social life, along with the appearance of new learning, new schools and new literatures and styles of art and architecture, signified the beginnings, in the West, of modern European civilization. In the thirteenth century what had begun in the twelfth arrived at such maturity that it is safe to say that early modern Europe was coming into being.

Among the institutions and fields of knowledge created by medieval men, the university and the State and the legal science that aided in the creation of both were, as much as the rise of an active economy and the organization of towns, important manifestations of the new age. While accepting and respecting tradition and believing in the unchanging higher law of nature that came from God, kings, statesmen, and men of learning confidently applied reason and skill to the work of introducing order into society and societies, into feudal kingdoms, Italian communes, and lesser communities of the clergy and laity. Long before the recovery of Aristotle's *Politics*, the naturalness of living in politically and legally organized communities of corporate guilds, chapters, towns, and States was recognized both in practice and in legal thought. Nature itself sanctioned the use of human reason and art to create new laws for the social and political life on earth—provided always, of course, that the new did not violate the will of God.

At the very time when merchants, artisans, townsmen, and schoolmen were forming their associations for mutual aid and protection, the study of the Roman and Canon law at Bologna introduced lawyers, jurists, and secular and ecclesiastical authorities to the legal thought of Rome on corporations. When

Gaines Post, selections from *Studies in Medieval Legal Thought* (copyright © 1964 by Princeton University Press), pp. 3-4, 20-22, 23-24, 248-249. Reprinted by permission of Princeton University Press.

kings were trying to overcome the anarchy of feudalism, the new legal science furnished those principles of public law that helped them convert their realms into States. . . .

The objection is often raised, however, that medieval kingdoms were not States because (1) they accepted the spiritual authority of the pope and the universal Church, (2) king and realm were under God and the law of nature, and (3) the royal government was poorly centralized. As for the first argument, it might be raised against the use of the term "State" for Eire and Spain today. Yet we assume that these two countries are States even though they are essentially Catholic and in some fashion recognize the spiritual authority of the Roman Church. With respect to other ideals of universalism, the United States and Italy, not to mention other nations, are sovereign States while belonging to the United Nations. As for the second argument, on subjection to God and a moral law, it must be replied that the official motto of the United States is "In God We Trust," and Americans take an oath of loyalty to "one nation indivisible under God." Furthermore, the sovereignty of the American people and their State is surely limited in fact by a moral law that belongs to the Judaeo-Christian tradition: it is not likely that the representatives of the people in Congress will ever think of making laws that violate the Ten Commandments, nor that the Supreme Court will approve them. It is therefore not absurd to call medieval kingdoms States despite limitations within which derived from the ideal of law and justice, and despite limitations from without (also within) from the universalism of Christianity and the Church. Papal arbitration of "international" disputes in the thirteenth century interfered with the sovereign right of kings to go to war (always the "just war" in defense of the *patria* and the *status regni*) no more and no less than international organizations do in the twentieth century. And "world opinion" was respected as much or as little.

In reply to the third argument, regarding the amount of centralization, one must ask, what degree of centralization is necessary for a State to exist? If the central government must be absolute in power, then the United States might not qualify, since a great many powers remain in the fifty states within. And did France become a State only with the more thorough centralization that resulted from the Revolution? Logically we might conclude that only a totalitarian State is a true State.

* * *

[*During the Middle Ages—Ed.*], in the emergency of a danger that threatened the safety of all, the ruler had a superior right to take such action as would ensure the public welfare or safety, that is, maintain the *status* or state of the realm. This emergency was a case of necessity—usually, as I have had occasion to say above, a just war of defense. Now the case of necessity, Meinecke has shown, was asserted by Machiavelli as a part of his theory of the State: the State is above all; and the prince, to assure the noble end of the State, has the right to use any means to meet the necessity and preserve the State. Necessity is

Guicciardini's reason of State. But it had its medieval background—Me finding the earliest statement in the maxim, "Necessity knows no law," late fourteenth century—in Gerson: Helene Wieruszowski finding it along with public utility, in the time of Frederick II.

Actually it goes back farther—if not to the Greeks, at any rate to 125–26; and above all to the *Corpus Iuris Canonici* and the *Corpus Iuris Civilis*. The pseudo-Isidorian canon in Gratian (De cons., Dist. 1, c. 11) uses the expression, "quoniam necessitas non habet legem"; decretists and decretalists from the late twelfth century on state the maxim and in their glosses explain its meaning in connection with the equitable interpretation of the law. For example, the necessity of hunger, says one, excuses theft; poverty, says another, knows no law; and the law ends, says a glossator, when necessity begins. In his *Brocardica* discusses the rule and gives many citations *pro* and *contra* the *Code* and *Digest*. To D. 9, 2, 4, where we find that it is lawful to kill a thief at night (the correspondence to *Exod.* 22, 2–3, had been noted by St. Augustine and was discussed by the canonists) because "natural reason" permits one to defend oneself against danger, Accursius gives complete approval.

Here, "Necessity knows no law" was a principle of private law because of the theory of the just war, that is, the right of the kingdom to combat itself against the aggressor (St. Augustine stated it, as did the scholastic philosophers), the case of necessity became a principle of public law in the thirteenth century; the equivalent of "just cause," "evident utility," and the common good, it was perforce connected with the preservation of the *status regni*. From the twelfth century on, the kings of France and England appealed to necessity as the justification for demanding extraordinary taxes. As we have seen, the Church had already recognized the validity of necessity in the lay taxation of the clergy. No wonder, then, that in the late thirteenth century French laymen, not only Beaumanoir and Pierre Dubois, but royal councillors like Pierre de Fontaine and William of Nogaret, were asserting that in a case of necessity the defence of the kingdom and all its members was a superior right of the *status regni* and that if "what touched all must be approved of all," the king had the right to compel all, even the clergy, to consent to measures taken to meet the danger.

At the same time, the situation of "international wars," necessity, public law, and the rise of powerful monarchies broke down the corporate hierarchy of communities within the Empire. Each great kingdom, like England and France, by the middle of the thirteenth century was independent of the Empire in theory and practice alike. And at the end of the century each was independent of the Church—and even above the Church, except in purely spiritual matters.

* * *

On the foundation of the two laws and of the rise of feudal monarchies in theory, and some practice, of public law and the State thus arose in the twelfth and thirteenth centuries. Private rights and privileges remained powerful

century and later. At times, in periods of war and civil dissension, they weakened the public authority of kings and threatened the very survival of the State.¹ But the ideas and ideal of the State and public order, of a public and constitutional law, were constantly at hand to remind statesmen of their right to reconstitute the State.

Lynn Thorndike criticized Burckhardt's interpretation of the Renaissance from the standpoint of a historian of science.

FROM *Renaissance* or *Prenaissance*?

BY *Lynn Thorndike*

MICHELET CALLED THE RENAISSANCE "the discovery of the world and of man," and was followed in this lead by the very influential book of Burckhardt, in which, on what seem too often to be dogmatic or imaginary grounds without sufficient presentation of facts as evidence, the Renaissance was no longer regarded as primarily a rebirth of classical learning and culture but rather as a prebirth or precursor of present society and of modern civilization—"a period," to quote the *Boston Transcript* (February 27, 1926) concerning Elizabethan England, "that witnessed the birth pangs of most that is worth while in modern civilization and government."

This made a well-calculated appeal to the average reader who is little interested to be told that Erasmus was a great Greek scholar or that Leonardo da Vinci copied from Albert of Saxony, but whose ego is titillated to be told that Leonardo was an individual like himself or that Erasmus's chief claim to fame is that he was the first modern man—the first one like you and me. All this was quite soothing and flattering and did much to compensate for one's inability to read Horace or to quote Euripides.

¹ Naturally I cannot attempt to outline the history of the failures of the public order of the State and of the public authority of the king in the fourteenth and fifteenth centuries. At times, in France for example, king and realm meant little except in the continuity of the ideas and ideal of the public law symbolized by the crown. As late as the eighteenth century, local and individual privileges and local resistance to the commands of the central government made the State weak. On this see in general the excellent book by R. R. Palmer, *The Age of the Democratic Revolution*. To return to the fourteenth century, in France, after the time of Philip IV, particularly in the period of the disasters of the Hundred Years' War and the Black Death, there was far less of a State than in the thirteenth century. *Plena potestas, quod omnes tangit*, and *status regni* apparently no longer manifested the power as well as the theoretical right of the king to obtain more than haphazard and sporadic consent, chiefly in local assemblies, to extraordinary taxes. In England the situation was different, but even there the legal thought I have investigated needs study in relation to the political events. For the situation in France see, besides C. H. Taylor in Strayer and Taylor, *Studies*, Fredric Cheyette, "Procurations by Large-Scale Communities in Fourteenth-Century France," *Speculum*, xxxvii (1962), 18-31.

Lynn Thorndike, "Renaissance or Prenaissance?" from *Journal of the History of Ideas*, Vol. 4 (1943), pp. 69-74. Reprinted by permission.

* * *

Was the individual freed and personality enhanced by the Renaissance or Renaissance? Burckhardt affirmed that with it "man became a spiritual individual and recognized himself as such," whereas "in the middle ages both sides of human consciousness—that which was turned within as that which was turned without—lay dreaming or half awake beneath a common veil." It might be remarked that individualism may be a mark of decline rather than progress. The self-centered sage of the Stoics and Epicureans rang the knell of the Greek city-state. Basil, on the verge of the barbarian invasions, complained that men "for the greater part prefer individual and private life to the union of common life." Carl Nemann held that "true modern individualism has its roots in the strength of the barbarians, in the realism of the barbarians, and in the Christian middle ages." Cunningham believed that the Roman Empire "left little scope for individual aims and tended to check the energy of capitalists and laborers alike," whereas Christianity taught the supreme dignity of man and encouraged the individual and personal responsibility. Moreover, in the thirteenth century there were "fewer barriers to social intercourse than now." According to Schäfer, "So far as public life in the broadest sense, in church and state, city and country, law and society, is concerned, the middle ages are the time of most distinctive individuality and independent personality in volition and action." We may no longer think of the Gothic architects as anonymous, and de Mely discovered hundreds of signatures of miniaturists hidden in the initials and illuminations of medieval manuscripts. No period in the history of philosophy has discussed individuality and its problems more often or more subtly than did the medieval schoolmen. Vittorino da Feltre and other humanist educators may have suited their teaching to the individual pupil; at the medieval university the individual scholar suited himself. The humanists were imitative in their writing, not original. Vitruvius was the Bible of Renaissance architects who came to follow authority far more than their creative Gothic predecessors. For the middle ages loved variety; the Renaissance, uniformity.

Not only has it been demonstrated that the thirteenth and fourteenth centuries were more active and penetrating in natural science than was the quattrocento, but the notion that "appreciation of natural beauty" was "introduced into modern Europe by the Italian Renaissance" must also be abandoned. Burckhardt admitted that medieval literature displayed sympathy with nature, but nevertheless regarded Petrarch's ascent of Mount Ventoux (which is only 6260 feet high) in 1336 as epoch-making. Petrarch represented an old herdsman who had tried in vain to climb it fifty years before as beseeching him to turn back on the ground that he had received only torn clothes and broken bones for his pains and that no one had attempted the ascent since. As a matter of fact, Jean Buridan, the Parisian schoolman, had visited it between 1316 and 1334, had given details as to its altitude, and had waxed enthusiastic as to the Cevennes. So that all Petrarch's account proves is his capacity for story-telling

and sentimental ability to make a mountain out of a molehill. Miss Stockmayer, in a book on feeling for nature in Germany in the tenth and eleventh centuries, has noted various ascents and descriptions of mountains from that period. In the closing years of his life archbishop Anno of Cologne climbed his beloved mountain oftener than usual.

As for the feeling for nature in medieval art, let me repeat what I have written elsewhere about the interest displayed by the students of Albertus Magnus in particular herbs and trees.

This healthy interest in nature and commendable curiosity concerning real things was not confined to Albert's students nor to "rustic intelligences." One has only to examine the sculpture of the great thirteenth-century cathedrals to see that the craftsmen of the towns were close observers of the world of nature, and that every artist was a naturalist too. In the foliage that twines about the capitals of the columns in the French cathedrals it is easy to recognize, says Mâle, a large number of plants: "the plantain, arum, ranunculus, fern, clover, violadine, hepatica, columbine, cress, parsley, strawberry-plant, ivy, snapdragon, and the flower of the broom, and the leaf of the oak, a typically French collection of flowers loved from childhood." *Mutatis mutandis*, the same statement could be made concerning the carved vegetation that runs riot in Lincoln cathedral. "The thirteenth-century sculptors sang their *chant de mai*. All the spring delights of the Middle Ages live again in their work—the exhilaration of Palm Sunday, the bouquets of flowers, the bouquets fastened on the doors, the strewing of fresh flowers in the chapels, the magical flowers of the feast of Saint John—all the charming charm of those old-time springs and summers. The Middle Ages, so often said to have little love for nature, in point of fact gazed at every blade of grass with reverence."

It is not merely love of nature but scientific interest and accuracy that were revealed in the sculptures of the cathedrals and in the note-books of the thirteenth-century architect, Villard de Honnecourt, with its sketches of insect and animal life, of a lobster, two parroquets on a perch, the spirals of a snail's shell, a fly, a dragonfly, and a grasshopper, as well as a bear and a lion and more familiar animals such as the cat and the swan. The sculptors and architects and gargoyles and chimeras were not content to reproduce existing animals but exercised their command of animal anatomy by creating strange compound and hybrid monsters—one might almost say, evolving new species—which nevertheless have all the verisimilitude of copies from living forms. It was these artists in stone, these Burbanks of the pencil, these Darwins with the chisel, who knew nature and had studied botany and zoology in a way superior to the scholar who simply pored over the works of Aristotle and Pliny. No wonder Albert's students were curious about particular things.

* * *

The concept of the Italian Renaissance or Prerenaissance has in my opinion done a great deal of harm in the past and may continue to do harm in the future. It is

too suggestive of a sensational, miraculous, extraordinary, magical, human and intellectual development, like unto the phoenix rising from its ashes after five hundred years. It is contrary to the fact that human nature tends to remain much the same in all times. It has led to a chorus of rhapsodists as to freedom, breadth, soaring ideas, horizons, perspectives, out of fetters and swaddling clothes, and so on. It long discouraged the study of centuries of human development that preceded it, and blinded the French *philosophes* and revolutionists to the value of medieval political and economic institutions. It has kept men in general from recognizing that our life and thought is based more nearly and actually on the middle ages than on distant Greece and Rome, from whom our heritage is more indirect, bookish and sentimental, less institutional, social, religious, even less economic and experimental.

But what is the use of questioning the Renaissance? No one has ever proved its existence; no one has really tried to. So often as one phase of it or conception of it is disproved, or is shown to be equally characteristic of the preceding period, its defenders take up a new position and are just as happy, just as enthusiastic, just as complacent as ever.

6

A Suggested Synthesis

W. K. Ferguson has defended the older interpretation of the Renaissance as an age of brilliant innovation, while taking note of the criticisms of the medievalists.

FROM *The Reinterpretation of the Renaissance*

BY *Wallace K. Ferguson*

IT SHOULD BE UNDERSTOOD, of course, that recognition of the Renaissance as a period in history does not imply that it was completely different from what preceded and what followed it. Even in a dynamic view of history, periodization may prove a very useful instrument if properly handled. The gradual changes brought about by a continuous historical development may be in large part changes in degree, but when they have progressed far enough they become for all practical purposes changes in kind. To follow a good humanist precedent and argue from the analogy of the human body, the gradual growth of man from childhood to maturity is an unbroken process, yet there is a recognizable difference between the man and the child he has been. Perhaps the analogy, as applied to the Middle Ages and the Renaissance, is unfortunate in that it suggests a value judgment that might be regarded as invidious. However that may be, it is my contention that by about the beginning of the fourteenth century in Italy and somewhat later in the North those elements in society which had set the tone of medieval culture had perceptibly lost their dominant position and thereafter gradually gave way to more recently developed forces. These, while active in the earlier period, had not been the determining factors in the creation of medieval culture but were to be the most influential in shaping the culture of the Renaissance.

That somewhat involved statement brings me to the hazardous question of what were the fundamental differences between medieval and Renaissance civilization, and to the approach to the problem which I have found most generally satisfactory. It is an approach suggested by the work of the recent economic

Wallace K. Ferguson, "The Reinterpretation of the Renaissance," W. H. Werkmeister ed., pp. 13-17. Reprinted from *Facets of the Renaissance* (1959) by permission of the University of Southern California Press. Copyright © by the University of Southern California, 1959.

historians who have called attention to the dynamic influence of the revival of trade, urban life, and money economy in the midst of the agrarian feudal society of the high Middle Ages. Unfortunately, economic historians have seldom spared much thought for the development of intellectual and aesthetic culture, having been content to leave that to the specialists, while, on the other hand, the historians whose special interest was religion, philosophy, literature, science, or art have all too frequently striven to explain the developments in these fields without correlating them with changes in the economic, social, and political structure of society. In the past few years, however, historians have become increasingly aware of the necessity of including all forms of human activity in any general synthesis, an awareness illustrated by Myron Gilmore's recent volume on *The World of Humanism*. Further, there has been a growing tendency to find the original motive forces of historical development in basic alterations of the economic, political, and social system, which in time exert a limiting and directing influence upon intellectual interests, religious attitudes, and cultural forms. As applied to the Renaissance, this tendency has been evident in the work of several historians, notably, Edward P. Cheyney, Ferdinand Schevill, Eugenio Garin, Hans Baron, and some of the contributors to the *Propyläen Weltgeschichte*.

To state my point as briefly as possible, and therefore more dogmatically than I could wish: let us begin with the axiomatic premise that the two essential elements in medieval civilization were the feudal system and the universal church. The latter represented an older tradition than feudalism, but in its external structure and in many of its ideals and ways of thought it had been forced to adapt itself to the conditions of feudal society. And feudalism in turn was shaped by the necessity of adapting all forms of social and political life to the limitations of an agrarian and relatively moneyless economy. Into this agrarian feudal society the revival of commerce and industry, accompanied by the growth of towns and money economy, introduced a new and alien element. The first effect of this was to stimulate the existing medieval civilization, freeing it from the economic, social, and cultural restrictions that an almost exclusive dependence upon agriculture had imposed upon it, and making possible a rapid development in every branch of social and cultural activity. That the twelfth and thirteenth centuries were marked by the growth of a very vigorous culture no longer needs to be asserted. They witnessed the recovery of much ancient learning, the creation of scholastic philosophy, the rise of vernacular literatures and of Gothic art, perhaps on the whole a greater advance than was achieved in the two following centuries. Nevertheless, it seems to me that, despite new elements and despite rapid development, the civilization of these two centuries remained in form and spirit predominantly feudal and ecclesiastical.

But medieval civilization, founded as it was upon a basis of land tenure and agriculture, could not continue indefinitely to absorb an expanding urban society and money economy without losing its essential character, without gradually changing into something recognizably different. The changes were most obvious in the political sphere, as feudalism gave way before the rise of city states or centralized territorial states under princes who were learning to utilize

the power of money. The effect upon the church was almost equally great. Its universal authority was shaken by the growing power of the national states, while its internal organization was transformed by the evolution of a monetary fiscal system which had, for a time, disastrous effects upon its moral character and prestige. Meanwhile, within the cities the growth of capital was bringing significant changes in the whole character of urban economic and social organizations, of which not the least significant was the appearance of a growing class of urban laymen who had the leisure and means to secure a liberal education and to take an active part in every form of intellectual and aesthetic culture.

Taking all these factors together, the result was an essential change in the character of European civilization. The feudal and ecclesiastical elements, though still strong, no longer dominated, and they were themselves more or less transformed by the changing conditions. The culture of the period we call the Renaissance was predominantly and increasingly the product of the cities, created in major part by urban laymen whose social environment, personal habits, and professional interests were different from those of the feudal and clerical aristocracy who had largely dominated the culture of the Middle Ages. These urban laymen, and with them the churchmen who were recruited from their midst as the medieval clergy had been recruited from the landed classes, did not break suddenly or completely with their inherited traditions, but they introduced new materials and restated the old in ways that reflected a different manner of life. The Renaissance, it seems to me, was essentially an age of transition, containing much that was still medieval, much that was recognizably modern, and, also, much that, because of the mixture of medieval and modern elements, was peculiar to itself and was responsible for its contradictions and contrasts and its amazing vitality.

This interpretation of the Renaissance leaves many of the old controversial points unanswered, though a partial answer to most of them is implied in it. It may be as well not to attempt to answer all questions with a single formula. There was certainly enough variety in the changing culture of western Europe during both the Middle Ages and the Renaissance to provide historians with material to keep them happily engaged in controversy for some time to come. All that can be claimed for the approach I have suggested is that it seems to offer the broadest basis for periodization, that it points to the most fundamental differences between the civilization of the Renaissance and the Middle Ages, while recognizing the dynamic character of both. At the same time, by suggesting a broad theory of causation in the gradual transformation of the economic and social structure of western Europe, it tends to reduce the controversial questions regarding the primary influence of the classical revival, of the Italian genius, Germanic blood, medieval French culture, or Franciscan mysticism to a secondary, if not irrelevant, status. Finally, such an approach to the problem might make it possible to take what was genuinely illuminating in Burckhardt, without the exaggerations of the classical-rational-Hegelian tradition, and also